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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TITLE: IMPROVED FISHING HOOK

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1                                    CITATION TO PARENT APPLICATION

2            This is a continuation-in-part application with respect  
3 to U.S. Patent Application No. 10/461,923, from which priority  
4 is claimed pursuant to 35 U.S.C. 120.  
5

6                                    BACKGROUND OF THE INVENTION

7            The invention relates to an improved wear-resistant  
8 composition of materials used for fishing hook construction.

9            Conventional fishing hooks are made of one form or  
10 another of metal. However, the present materials (stainless  
11 steel probably representing the best performing material) are  
12 not optimal, at least when compared to the fishing hook of the  
13 present invention, as will be disclosed hereafter.

14           Presently available fishing hooks deteriorate (especially  
15 when used in salt water environments, although such does occur  
16 in all contexts) and fail to retain the sharpness of their  
17 tips and barbs.

18           Heat-treating a fishing hook to form a hard penetrating  
19 surfaces will still produce a hook which will dull very  
20 quickly. This, in turn, reduces the frequency of successful  
21 catches.

22           Objects of the invention include an improved fishing hook  
23 exhibiting at least penetrating and barb surfaces and tips

1 which are of high hardness, exhibit low coefficient of  
2 friction and extended service life, and which are economically  
3 feasible for commercial production.

#### 4 5 SUMMARY OF THE INVENTION

6 The present invention provides a wear-resistant fishing  
7 hook constructed of, or coated with titanium or a alloy  
8 thereof.

#### 9 10 DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

11 The improved fishing hook of the present invention can be  
12 produced in a variety of ways. In the interest of providing  
13 an enabling disclosure, several approaches (not exhaustive)  
14 are provided below.

15 Referring now to FIG. 1, in which the electric arc  
16 physical vapor deposition apparatus is used to coat a fishing  
17 hook 14, a shell 10 having a vacuum chamber 11 which is  
18 evacuated to a desired operating pressure of generally between  
19  $10 \cdot 10^{-1}$  to  $5 \cdot 10^{-4}$  torr and preferably between  
20  $5 \cdot 10^{-2}$  and  $5 \cdot 10^{-3}$  torr by a conventional  
21 vacuum pumping system 12 communicating with the vacuum chamber  
22 11 through an open port 13.

1           The vacuum chamber 11 may have any desired geometry and  
2 be of any desired size to accommodate one or more fishing hook  
3 14 (substrates) to be coated with source material provided by  
4 evaporating one or more solid cathodes 15 in accordance with  
5 the practice of the present invention. For illustrative  
6 purposes, the shell 10 is shown having a generally rectangular  
7 body which, in cross-section, has an upper wall 16, a lower  
8 wall 17, and side walls 18 and 19, respectively. The shell 10  
9 further can include an additional section 20 which projects an  
10 arbitrary distance from the side wall 18. The side wall 18 has  
11 an opening 21 through which the cathode 15 communicates with  
12 the vacuum chamber 11.

13           The cathode 15 is attached to a cathode support assembly  
14 22. The cathode support assembly 22 is mounted on a flange 25  
15 through an insulator 27. The mounting flange 25 is connected  
16 to section 20 of the shell 10. The support block 22 has a  
17 relatively small cavity 28 which connects with an inlet  
18 passage 29 and exit passages 30. A coolant such as water is  
19 circulated through the cavity 28 from a source (not shown).  
20 The coolant flows from the source through inlet conduit 29  
21 into the cavity 28 and returns to the source through the exit  
22 passages 30. A DC magnet 33 is disposed within the support  
23 block 22 and serves to diffuse the point of attachment of an

1 electric arc 34 over the arc evaporation surface 35 of the  
2 cathode 15.

3 A hollow elongated member 36 surrounds the cathode 15 to  
4 form a relatively narrow space 40. The elongated member 36 is  
5 attached to the mounting flange 25 through the insulator 27.  
6 The geometry of the member 36 and open end 41 should  
7 substantially conform to the geometry and dimension of the  
8 cathode 15 as shown in FIGS. 2A, 2B and 2C, respectively The  
9 elongated member 36 should be substantially uniform in cross-  
10 sectional dimension over its length. This assures that the  
11 open end 41 does not restrict the plasma flow as it exits  
12 member 36. Accordingly, if a cylindrical or disk shaped  
13 cathode is used, the member 36 should preferably be tubular in  
14 shape with the narrow space 40 being annular in cross-section.  
15 For a 6.35 cm diameter cathode the thickness of the annular  
16 space 40 can range from about 0.08 cm to about 0.24 cm. An  
17 inlet opening 38 in the support block 22 directly communicates  
18 with the narrow space 40 and with an input gas supply line 39.  
19 Gas is fed through the gas supply line 39 from a source of gas  
20 (not shown) into the narrow space 40 from whence the gas is  
21 directed through the cathode chamber 37 into the vacuum  
22 chamber 11. A valve V is used to control the flow of gas  
23 through the supply line 39.

1           The elongated member 36 projects a predetermined distance  
2 "x" beyond the cathode evaporable end surface 35 to form a  
3 cathode chamber 37. The extension "x" between the open end 41  
4 of the member 36 and the evaporable end surface 35 must be  
5 above zero and up to a maximum of, for example, about 13 cm in  
6 length for a 6.35 cm diameter cathode. The distance "x" is  
7 measured from the cathode evaporable end surface 35 as shown  
8 in FIG. 2 to the open end 41 of the elongated member 36. The  
9 preferred minimum distance "x" is at least about one  
10 centimeter and the preferred range for "x" is between 2 to 6  
11 cm for a 6.35 cm diameter cathode. Similar aspect ratios of  
12 "x", herein defined as  $x/d$  where "d" is the major dimension of  
13 the cathode evaporable end surface 35, must be maintained for  
14 all cathode geometries such as those shown in FIGS. 2A, 2B and  
15 2C, respectively. The aspect ratio must be above zero and up  
16 to a maximum of about 2.0. The preferred minimum aspect ratio  
17 is at least about 0.07 and the preferred range of the aspect  
18 ratio is between 0.3 and 1.0. The critical requirement and  
19 importance of recessing the cathode within the member 36 to  
20 form a cathode chamber 37 will be discussed at greater length  
21 later in the specification. The elongated member 36 may  
22 preferably be composed of any material that does not interfere  
23 with the function of magnet 33 in diffusing the attachment of

1 electric arc 34 over the arc evaporation surface 35 and can  
2 comprise any non-magnetic material suitable for high  
3 temperature vacuum service, e.g., non-magnetic stainless  
4 steel.

5       The fishing hook 14 is mounted upon a support plate 42  
6 located within the vacuum chamber 11 and spaced apart from the  
7 evaporable end surface 35 of the cathode 15. The type of  
8 structure used to support or suspend the fishing hook 14  
9 within the vacuum chamber 11 depends upon the size,  
10 configuration and weight of the object. For simplicity, the  
11 fishing hook 14 is shown having a rectangular geometry with a  
12 flat surface facing the cathode evaporation end surface 35. It  
13 should be understood that the fishing hook 14 may have any  
14 configuration and may be supported in any fashion. The  
15 fishing hook 14 may also be of any suitable composition  
16 capable of withstanding the high temperature, vacuum  
17 conditions existing in the chamber 11 and can be made of such  
18 materials as refractory metal, refractory alloy, superalloy,  
19 stainless steel, and ceramic composites. The support plate 42  
20 should, however, be composed of a conductive material and is  
21 connected to a metal rod 42 which extends through an insulated  
22 high voltage feed-through port 43 in the lower wall 17 of the  
23 shell 10. The metal rod 42 is connected to the negative

1 terminal of a bias power supply 44 located external of the  
2 shell 10 with the positive terminal of the bias power supply  
3 44 connected to side wall 18 through electrical lead 31.

4 The vacuum chamber 11 further can include an electrically  
5 insulated surface 70 located opposite the cathode evaporable  
6 end surface 35 with the fishing hook 14 and support plate 42  
7 positioned therebetween. The electrically insulated surface 70  
8 can be itself comprised of an insulator material or can be  
9 comprised of a conductive material which is insulated from the  
10 chamber 10 by insulator 71 shown. This electrically insulated  
11 surface 70 serves to substantially confine the plasma to the  
12 chamber volume 72 between surface 70 and cathode evaporable  
13 end surface 35 wherein the fishing hook 14 is located without  
14 surface 70 attracting ions or electrons from the plasma and  
15 further serves to prevent interaction between plasmas when  
16 multiple evaporators are accommodated in chamber 11.

17 Arc current is supplied from a main power supply 46  
18 located external of the shell 10. The main power supply 46 has  
19 its negative terminal connected to the cathode support block  
20 22 and its positive terminal connected to the side wall 18.  
21 The electric arc 34 is formed between the cathode 15 and the  
22 side wall 18 of the shell 10. The side wall 18 represents the  
23 anode and can be connected to ground potential 45 through an



1 electrical lead 49. Alternatively, the anode may be formed  
2 from another conductive member (not shown) mounted adjacent to  
3 but electrically separated from the side wall. The geometry of  
4 such anode would not be critical. In the latter case, the arc  
5 conduit can be electrically isolated from the shell 10. It is  
6 also obvious that the side wall 18 can be electrically  
7 insulated from the other walls of the shell 10 by using  
8 insulating separators such as those shown at 23. It is also  
9 obvious that the anode side wall 18 can be free-floating with  
10 the ground at 45 removed and the shell wall 16, 17 and 19  
11 grounded.

12 Any conventional arc starting procedure may be used  
13 including physically contacting the cathode end surface 35  
14 with a wire electrode 50. The wire electrode 50 is  
15 electrically connected to anode side wall 18 or a separate  
16 anode (not shown) through a high resistance R. In addition the  
17 wire electrode 50 is connected to a plunger assembly 53  
18 through an insulated sleeve 51 in the mounting flange 25. The  
19 plunger assembly 53 moves the wire electrode into physical  
20 contact with the cathode end surface 35 and then retracts it.  
21 A conventional plunger assembly for performing this operation  
22 is taught and described in U.S. Pat. No. 4,448,799. However,  
23 any mechanism capable of moving the starting wire electrode 50

1 into contact with the cathode 15 and withdrawing it may be  
2 used to practice the present invention. Alternatively, an arc  
3 may be started by other conventional methods including  
4 transferred arc starting and spark starting using a spark  
5 plug.

6 In touch starting, once contact is made between the  
7 starting wire electrode 50 and the cathode 15, current flows  
8 from the main power supply 46 through the cathode 15 and wire  
9 electrode 50 to anode side wall 18. Retraction of the wire  
10 electrode 50 breaks contact with the cathode 15 to form an  
11 electric arc. The high resistance R causes the arc to transfer  
12 to the anode side wall 18 which is a less resistive path than  
13 the path to the wire electrode 50.

14 Any gas may be supplied to the cathode chamber 37 and  
15 then to vacuum chamber 11 through the narrow space 40 of  
16 elongated member 36 depending upon the coating to be formed on  
17 the fishing hook 14. The use of an inert gas such as argon is  
18 preferred for depositing a coating of elemental or alloy  
19 source material corresponding to the cathode material, e.g.,  
20 Si, Cu, Al, W, Mo, Cr, Ta, Nb, V, Hf, Zr, Ti, Ni, Co, Fe and  
21 their alloys including alloying elements Mn, Si, P, Zn, B and  
22 C. The inert gas in this instance is not intended to react  
23 with the metal vapor in the plasma. Other inert gases that may

1 be used include neon, krypton, xenon and helium. Reactive  
2 gases include nitrogen, oxygen, hydrocarbons such as CH<sub>4</sub>  
3 and C<sub>2</sub>H<sub>2</sub>, carbon dioxide, carbon monoxide, diborene  
4 (B<sub>2</sub>H<sub>6</sub>), air, silane (SiH<sub>4</sub>) and combinations.  
5 Nitrogen is used as the preferred reactive gas with metal  
6 vapor from metal cathodes including Ti, Zr, Hf, V, Nb, Ta, Cr,  
7 Mo, W, Si and Al to form refractory nitride coatings TiN,  
8 Ti<sub>2</sub>N, ZrN, HfN, VN, V<sub>3</sub>N, Nb<sub>2</sub>N, NbN, TaN,  
9 Ta<sub>2</sub>N, CrN, Cr<sub>2</sub>N, MoN, Mo<sub>2</sub>N, Mo<sub>3</sub>N, WN,  
10 W<sub>2</sub>N, Si<sub>3</sub>N<sub>4</sub>, AlN and their compounds. Nitride-  
11 metal composites such as TiN-Ni and ZrN-Ni and complex  
12 nitrides such as (Ti,Zr)N, (Ti,Al,V)N and (Ti,V)N can be  
13 produced by employing multiple or composite cathodes.  
14 Accordingly, carbide, oxide and boride compound coatings can  
15 be produced when a reactive gas comprised of carbon, oxygen  
16 and boron is used, for example TiC, TiO, TiO<sub>2</sub> and  
17 TiB<sub>2</sub>. In addition, interstitial nitride-, carbide-,  
18 boride- and oxide-compound coatings can also be made by  
19 employing more than one reactive gas species, for example,  
20 TiCN, TiON and TiOCN. In all cases, the gas should be fed into  
21 the cathode chamber 37 and then into the vacuum chamber 11 at  
22 rate compatible with the withdrawal rate of the vacuum pumping

1 system to maintain the desired operating pressure of between  
2  $10^{-1}$  to  $5 \times 10^{-4}$  torr.

3 The plasma produced by the high current density arc  
4 includes atoms, molecules, ionized atoms and ionized molecules  
5 of the cathode evaporation surface 35 and ionized species of  
6 gases. Biasing the fishing hook 14 negatively with respect to  
7 the anode or to both the anode and cathode influences the  
8 smoothness, uniformity and surface morphology of the coating.  
9 The bias power supply should be adjusted to a bias potential  
10 to optimize the coating operation. For a TiN, or ZrN, coating  
11 a bias potential for power supply 44 of between 50 and 400  
12 volts is acceptable with a bias potential between 100 and 200  
13 volts preferred for TiN and a bias potential between 50 and  
14 250 volts preferred for ZrN.

15 Gas is fed through the space 40 into the cathode chamber  
16 37 representing the volume of space between the cathode  
17 evaporation surface 35 and the open end 41 of the elongated  
18 member 36. The gas envelops the high current density arc in  
19 the cathode chamber 37 over the distance "x" resulting in an  
20 increase of plasma pressure and temperature. The plasma  
21 extends from the cathode evaporation surface 35 through the  
22 relatively high pressure region in the cathode chamber 37 and  
23 exits through the open end 41 of the elongated member 36

1 towards the relatively lower pressure region in the vacuum  
2 chamber 11, or chamber volume 72, where the negatively biased  
3 substrate 14 is located. An additional benefit of feeding gas  
4 through the narrow space 40 into cathode chamber 37 is that  
5 the gas in space 40 serves as an insulator to prevent arcing  
6 from the cathode 15 to the member 36.

7       During operation, some of the evaporated cathode material  
8 will deposit on the inside surface of the member 36 to form a  
9 deposit 60. This is diagrammatically illustrated in FIG. 2.  
10 The gas injected from narrow space 40 prevents the deposit 60  
11 from accumulating and bridging over to the cathode 15.  
12 Instead, as the operation proceeds, a convergent nozzle 62 is  
13 formed between the deposit 60 and the outer edge 61 of the  
14 cathode 15. The outer edge 61 becomes more pronounced as the  
15 evaporable end surface 35 is consumed. The gas flows through  
16 this convergent nozzle 62 across the face 35 of cathode 15 and  
17 into the plasma contained in cathode chamber 37. After  
18 prolonged operation, both the evaporable end surface 35 and  
19 the outer edge 61 recede enlarging the distance "x". The  
20 enlargement in the distance "x" is less than about 0.35 cm  
21 during normal operation and is therefore insignificant to the  
22 method of the invention. The deposit 60 apparently continues  
23 to accumulate as the edge 61 recedes so as to maintain the

1 dimension "y" of the convergent nozzle 62 substantially  
2 constant by shifting its position in conjunction with the  
3 eroded outer edge 61. The dimension "y" is maintained  
4 substantially constant at a value greater than zero and less  
5 than about 0.4 cm over the range of operating parameters.  
6 Control over the dimension "y" results from the method of  
7 introducing gas into the cathode chamber 37. Accordingly, the  
8 operation of the convergent nozzle 62 is a self-correcting  
9 phenomenon which assures that the gas continues to be directed  
10 across the face 35 of the cathode 15 as it flows into the  
11 cathode chamber 37 from narrow space 40. In accordance with  
12 the present invention, the gas must always first enter the  
13 cathode chamber 37 before the gas enters the vacuum chamber  
14 11, or chamber volume 72.

15 Another suitable method for producing fishing hooks of  
16 the present invention consists in depositing under vacuum, for  
17 example by cathodic sputtering, by vacuum evaporation, or by  
18 ion projection, titanium in presence of nitrogen at the  
19 surface of the fishing hook. During this deposition, the  
20 amount of nitrogen introduced into the treatment chamber  
21 varies continuously from zero to a value defined by the  
22 desired result, in such a manner that the composition of the  
23 coating, starting from the bare surface of the hook, varies

1 progressively from pure titanium to titanium nitride having an  
2 approximately stoichiometric composition.

3 According to a particularly advantageous technique, the  
4 electric polarization of hook is simultaneously varied, so as  
5 to progressively vary the mechanical compression stresses from  
6 a minimum value at the start of coating to a maximum value at  
7 the end of coating. One obtains in this manner a coating  
8 which, starting from the bare surface of hook, has a given  
9 gradient of nitrogen concentration and of mechanical stress.  
10 The coating obtained thereby has minimum shear stresses at the  
11 surface of contact of the article with the coating, as well as  
12 the desired optical, mechanical and anticorrosive properties.

13 The titanium coating, which may have a thickness lying  
14 between 0.1 and 20 micron, may be produced by vacuum  
15 deposition of at least one of the following metals: titanium,  
16 zirconium, hafnium, vanadium, niobium, tantalum, chromium,  
17 molybdenum, tungsten, aluminium. This deposition may be  
18 effected in presence of one of the following elements: carbon,  
19 nitrogen, oxygen, boron, silicon, fluorine, chlorine, sulphur,  
20 phosphorus. As with titanium nitride, the proportion of these  
21 elements is increased progressively during the phase of vacuum  
22 deposition of the previously mentioned metals.

1           At the same time, as the coating thickness increases, the  
2 articles to be treated are polarized more and more negatively.  
3 This enables to obtain a coating having an increasing  
4 concentration of non metallic elements and having increasing  
5 mechanical stress states.

6           For optimal adherence of the titanium coating, a  
7 stainless steel fishing hook will have been previously  
8 degreased and dried, is placed in a cathodic sputtering  
9 chamber under vacuum. During a first stage, it undergoes ion  
10 bombardment with argon ions, so as to eliminate the last  
11 superficial traces of contaminant. The hook is next negatively  
12 polarized to several tens of volts, and deposition of titanium  
13 by cathodic sputtering is begun. As the coating thickness  
14 grows, the electric polarization of this article is  
15 progressively increased, and an increasing flow of nitrogen is  
16 introduced into this chamber, so as to deposit a titanium  
17 nitride compound which is increasingly rich in nitrogen. At  
18 the end of the titanium nitride deposition, when the coating  
19 thickness reaches one micron, the polarization of hook may  
20 amount to a value lying between 150 and 250 volts, and the  
21 proportion of nitrogen atoms in the titanium nitride will be  
22 approximately 50%.



1 Fishing hooks made in accordance with this invention  
2 exhibit superior performance compared to conventional types of  
3 hooks. Improvements in such performance criteria as  
4 penetrating point and barb point wear and high penetration  
5 facility. Such improvements are related to the fact that the  
6 invention provides for better edge strength, wear-resistance  
7 and coefficient of friction than has been possible previously  
8 in the context of fishing hooks.

9 The composition of the present invention has significant  
10 advantages compared to materials used for fishing hook  
11 construction previously. For example, the composition can be  
12 varied within the scope of this invention to provide superior  
13 wear-resistance or to provide a greater degree of toughness,  
14 as required. This is particularly advantageous in the critical  
15 wear areas of a fishing hook.

16 The ease of control of the composition permits a high  
17 quality fishing hook to be manufactured. The strength and  
18 durability of the penetrating surfaces exhibits the desired  
19 wear-resistance and toughness and represents an unexpected and  
20 significant advance in fishing hook construction.

21 In a fishing hook according to the present invention, the  
22 mode of wear is primarily individual particles flattening due

1 to abrasion, not the more destructive oxidation with resultant  
2 deformation, as with stainless steel hooks.

3 While the invention has been disclosed herein in  
4 connection with certain embodiments and detailed descriptions,  
5 it will be clear to one skilled in the art that modifications  
6 or variations of such details can be made without deviating  
7 from the gist of this invention, and such modifications or  
8 variations are considered to be within the scope of the claims  
9 hereinbelow.

10 It should be noted that no claim is made to the processes  
11 or method of plating or coating articles in general, as shown  
12 above, but rather to the end product of a fishing hook made  
13 of, or coated (wholly or partially) with titanium an alloy of  
14 titanium, or such method(s) as produce such specific end-  
15 products.